

JOHN A. LAHIVE, JR. (1928-1997) THOMAS VI SMURZYNSK RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD Deann FORAN SMITH PETER C. LAURO JEANNE M. DIGIORGIO DEBRA J. MILASINCIC, Ph.D. DAVID J. RIKKERS DAVID R. BURNS JOHN S. CURRAN SEAN D. DETWEILER

CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICHA NAND
MICHAEL PHILLIPPS \*
LISA M. DIROCCO

SENIOR COUNSEL JAMES E. COCKFIELD

OF COUNSEL

JEREMIAH LYNCH

WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
PETER S. STECHER
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
HATHAWAY P. RUSSELL

Secter#

TECHNICAL SPÉCIALISTS '
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.\*\*
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE

JENNIFER K. ROSENFIELD
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. MCPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
JACOB G. WEINTRAUB

\* Admitted in NY only
\*\* Passed the Patent Bar Examination

September 4, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/823,884

Title: 7-And 9-Carbamate, Urea, Thiourea, Thiocarbamate, and Heteroaryl-

Amino Substituted Tetracycline Compounds

Inventor: Mark L. Nelson, et al.

Filed: March 30, 2001 Our Ref: GAZ-025CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice To File Missing Parts of Nonprovisional Application (in duplicate);
- 2. An Executed Declaration, Petition and Power of Attorney;
- 3. Copy of the Formalities Letter;
- 4. Exhibit A (copy of the Application Transmittal) and Exhibit B (Claim Summary);
- 5. Check for \$3,044.00 (\$2,934.00 missing parts and \$110.00 extension of time);
- 6. Request for One-Month Extension of Time (in duplicate); and
- 7. An acknowledgement postcard.

Please charge any underpayments or credit any overpayments to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

September 4, 2001

Date

Elizabeth A. Hanley, Esq., Ros. No. 33,505

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Elizabeth A. Hanley, Esq Registration No. 33,505

EAH:CMS:bjj Enclosures

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ie application of: Mark L. Nelson, et al.

Group Art Unit: 1614

Serial No.: 09/823,884

Examiner: Not Assigned

Filed: March 30, 2001

For: 7- AND 9-CARBAMATE, UREA, THIOUREA, THIOCARBAMATE, AND HETEROARYL-AMINO SUBSTITUTED TETRACYCLINE COMPOUNDS

Attorney Docket No.: GAZ-025CP

**Box Missing Parts** Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

September 4, 2001

Date of Signature and of Mail Deposit

Elizabeth A. Hanley, Esq

Registration No. 33,505

**Attorney for Applicants** 

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application dated June 4, 2001, Applicants' attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application for the above-identified patent application. A one-month extension of time is being filed concurrently herewith. A check in the amount of \$3,044.00 (\$710.00 basic fee, \$2,094.00 additional claims fee, \$130.00 surcharge, and \$110.00 one-month

U.S.S.N.: 09/823,884

-2
extension of time) is enclosed to cover the surcharge owed by Applicants based on large entity status. This amount is based on Applicants' calculation of claims which we respectfully believe is correct. Exhibit A (copy of the application transmittal) and Exhibit B (Claim Summary) is enclosed as confirmation. In addition, a copy of the Formalities Letter is also enclosed.

Please charge any deficiencies or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this letter is enclosed.

Respectfully submitted,

Elizabeth A. Hanley, Esq. Registration No. 33,505

Attorney for Applicants

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: September 4, 2001

Case Docket No.

PKZ-025CP

# THE COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231

#### **EXHIBIT A**

# EL589752135US

OIPE	
SEP 0 7 2001	7 30/
PROTE TRADEMARY	*/

1	"Express Mail" Mailing Label Number	EL 589 752 135 US
	Date of Deposit	March 30, 2001
	I hereby certify that this transmittal letter and the paper deposited with the United States Postal Service "Expr 37 CFR 1.10 on the date indicated above and is addre Application, Washington, DC 20231.	ess Mail Post Office to Addressee" service under
	Nelson Barro	S
	Signature Nuhon	Sarus_
L	Please Print Name of Person Signing	

TRADEMAR	deposited with the United States Postal Service "Express Mail Post Office to Addressee" service us 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Expedication, Washington, DC 20231.	
MAU	Nelson Barros Signature M. A. A.	
	Tubon Daruz	
	Please Print Name of Person Signing	
Sir:		•
Transmi	itted herewith for filing is the patent application of	
Inventor	r(s): Mark L. Nelson, Stuart B. Levy, Roger Frechette, Todd E. Bowser, Mohamed Y. Ismail	
For:	7- AND 9- CARBAMATE, UREA. THIOUREA, THIOCARBAMATE, AND HETEROARYL-AMINO SUBSTITUTE COMPOUNDS	d Tetracycline
Enclosed	d are:	
X		
	sheets of drawings.	
$\boxtimes$	An unexecuted Declaration, Petition and Power of Attorney.	
	An assignment of the invention to	. A recordation form
	cover sheet (Form PTO 1595) is also enclosed.	
	Applicant claims small entity status. See 37 CFR 1.27.	
	Other	

The filing fee has been calculated as shown below:

	(Col. 1)	(Col	. 2)
FOR:	NO. FILED	NO.	EXTRA
BASIC FEE	///////////////////////////////////////		///////////////////////////////////////
TOTAL CLAIMS	108 - 20	=	88
INDEP. CLAIMS	6 - 3	=	3
■ MULTIPLE DEPENDENT CLAIMS PRESENTED			

<sup>\*</sup> If the difference in Col. 2 is less than zero, enter "0" in Col. 2.

#### SMALL ENTITY

RATE	FEE
/////////	\$
x 9=	\$
x 40	\$
+135	\$
TOTAL	0

#### OTHER THAN SMALL ENTITY

<u>OR</u> <u>OR</u> <u>OR</u> <u>OR</u> <u>OR</u>

<u>OR</u>

RATE	FEE	
111111111	\$ 710	
x 18=	\$ 1584	
x 80	\$ 240	
+270	\$ 270	
TOTAL	\$2804.00	

$\mathbf{X}$	THE DILLING PERC	ARE NOT BEING PA	ID AT THE THAT
^I	THE PUBLICATION OF PRICE	ARE NULL BELIEVE PAI	IDAI INIS HIME

	A check in the amount of \$ to cover the filing fee is enclosed.	
communication or credit any over		mmissioner is hereby authorized to charge payment of the following fees associated with this nication or credit any overpayment to Deposit Account No. cate copy of this sheet is enclosed.
		Any additional filing fees required under 37 C.F.R. 1.16.
		Any patent application processing fees under 37 C.F.R. 1.17.

Attorney	Docket No.: PKZ-025CP	Page 2 of 2
1	The Commissioner is hereby prized to charge payment this application or credit any overpayment to Deposit A A duplicate copy of this sheet is enclosed.	nent of the following fees and the pendency of count No.
	☐ Any patent application processing fees under	37 C.F.R. 1.17.
	The issue fee set in 37 C.F.R. 1.18 at or befor pursuant to 37 C.F.R 1.311(b).	e mailing of the Notice of Allowance,
	Any filing fees under 37 C.F.R. 1.16 for prese	entation of extra claims.
	A check in the amount of \$ to cover the recis also enclosed.	cording of assignment documents
X	Address all future communications (May only be completed Elizabeth A. Hanley, Esq. at Custo	eted by applicant, or attorney or agent of record) mer Number: 000959 whose address is:
	Lahive & C	Cockfield, LLP
		ate Street
	Boston, Mass	achusetts 02109
Date:_!	March 30, 2001	LAHIVE & COCKFIELD, LLP Attorneys at Law
		By

Attorney Decket No.: GAZ-025CP

#### **EXHIBIT B**

# CLAIM SUMMARY U.S.N.N 09/823,884

Independent Claims	Dependent Claims	Multiple Dependent Claims
Claim 1	Claims 2-14	Claims 15-18
Claim 77	Claims 19-60	Claims 61-62
Claim 82	Claims 63-76	
Claim 84	Claims 78-81	
Claim 95	Claim 83	
Claim 100	Claims 85-94	
	Claims 96-99	
	Claims 101-102	
Total 6	90	12

Total Claims = 108 (6+90+12=108)

#### Claim Fee Calculation

6- 3= 3 claims @\$80.00= \$ 240.00 108-20=88 claims @\$18.00=\$1,584.00 Multiple Dependent Claim Fee=\$ 270.00 Basic Filing Fee =\$ 710.00

Surcharge =\$ 130.00

TOTAL FEE \$2,934.00





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspro.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/823,884

LAHIVE & COCKFIELD

28 STATE STREET

**BOSTON, MA 02109** 

000959

03/30/2001

Mark L. Nelson

PKZ-025CP

**CONFIRMATION NO. 7556 FORMALITIES LETTER** 

\*OC000000006142152\*

Date Mailed: 06/04/2001

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2222.
  - \$1872 for 104 total claims over 20.
  - \$80 for 1 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3062.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

88888

UN 0 7 2001